



Docket No: 54358US067

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JOHN A. WHEATLEY and WALTER J.  
SCHRENK

Group Art Unit: 2872

Serial No.: 09/911,532

Filed: 7/24/01

Examiner: Shafer, Ricky D.

For: **POLYMERIC INTERFERENCE FILM (AS AMENDED)**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

3/19/02

Date

Signature

*Gwen J. Giehl*

TERMINAL DISCLAIMER UNDER 37 C.F.R. 1.321(b)

(US Patent No. 5,686,979)

Hon. Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Petitioner, 3M Innovative Properties Company, a corporation of the State of Delaware having a place of business at 3M Center, St. Paul, Minnesota, represents that it is the exclusive owner of the entire interest in the above-identified Application (referred to as the "Subject Application") by virtue of an assignment recorded at Reel 012049, Frame 0159, on July 24, 2001. Petitioner further represents that it is the exclusive owner of the entire interest in U.S. Patent No. 5,686,979 (referred to as the "Prior Patent"), by virtue of an assignment from the inventors to Minnesota Mining and Manufacturing Company recorded at Reel 7575, Frame 0223, on June 26, 1995, and by virtue of an assignment from Minnesota Mining and Manufacturing Company to 3M Innovative Properties Company (a true copy of which is attached hereto).

Petitioner disclaims the terminal part of any patent granted on the Subject Application (referred to as a "Subject Patent") which would extend beyond the expiration date of the full statutory term as defined in 35 U.S.C. 154 to 156 and 173

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of the Prior Patent. Petitioner hereby agrees that the Subject Patent shall be enforceable only for and during such period that the legal title to such patent and the Prior Patent are commonly owned. This agreement is to run with the Subject Patent and to be binding upon the grantee, its successors, and assigns.

In making the above disclaimer, Petitioner does not disclaim any terminal part of the Subject Patent prior to the expiration date of the full statutory term of the Prior Patent, even if the Prior Patent: (1) expires for failure to pay a maintenance fee; (2) is held unenforceable or is found invalid by a court of competent jurisdiction; (3) is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. 1.321; (4) has all claims canceled by a reexamination certificate; (5) is reissued; or (6) is otherwise terminated prior to the expiration of its full statutory term.

Documents establishing the chain of title of the Subject Application (including the aforementioned assignment and a recording location) have been reviewed and I certify that, to the best of my knowledge and belief, title is in Petitioner.

I declare that all statements made herein of my own knowledge are true and that all statements made herein on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing thereon.

Please charge the fee provided in 37 C.F.R. 1.20(d) to Deposit Account No. 13-3723.

Respectfully submitted,

Registration Number	Telephone Number	Signature
35,207	651-736-3369	<i>Stephen C. Jensen</i>
Date		Print Name
Mar. 19, 2002		Stephen C. Jensen

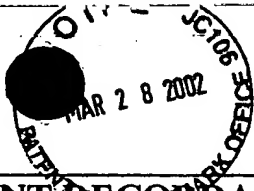
3M Innovative Properties Company  
Office of Intellectual Property Counsel  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427

**TERMINAL DISCLAIMER  
APPROVED**

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*Deborah Perry-Leeper*  
Deborah Perry-Leeper  
Paralegal Specialist  
Technology Center 2800

**ATTACHMENT: Assignment from  
Minnesota Mining and Manufacturing Company  
To  
3M Innovative Properties Company**



DUE DATE

ATTORNEY  
DOCKETED

HSC

Docket No. 51478USA2A/cv

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TECHNOLOGY CENTER 2800**PATENT ASSIGNMENT RECORDATION COVER SHEET****To: The Commissioner of Patents and Trademarks:****Please record the attached original document or copy thereof.****Submission Type:**

- ☒ New  
☐ Resubmission (Non-Recordation):  
Document ID#  
☐ Correction of PTO Error: Reel # \_\_\_\_\_ Frame #  
☐ Corrective Document: Reel # \_\_\_\_\_ Frame #

**Conveyance Type:**

- ☒ Assignment  
☐ Other:

**Name of conveying party(ies)****Execution Date**  
(Month/Day/Year)Minnesota Mining and  
Manufacturing Company

6/18/99

**Name and address of receiving party(ies):**3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427**Additional names of conveying party(ies) attached?**

- ☐ Yes ☒ No

**Application number or patent number:**

- ☐ This document is being filed with a new patent application on  
☒ This document is to be recorded against the following patent application or patent: 5,686,979

**Patent Cooperation Treaty (PCT):**Enter PCT application number only if a U.S. Application Number has not been assigned:**Name and address of party to whom correspondence concerning document should be mailed:**Name: David R. Cleveland, Phone Number: 651/733-0616  
Office of Intellectual Property Counsel  
3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427**Number of Properties:**

Enter the total number of properties involved

1

**Fee Amount:**

Fee Amount for Properties Listed (37 CFR 3.41)

X \$40.00**Method of Payment:**

☒ Charge to Deposit Account No. 13-3723  
Authorization to charge additional fees: ☒ Yes ☐ No

= \$40.00

**DO NOT USE THIS SPACE****Statement and signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

David R. Cleveland  
Printed Name of Attorney

Signature

June 21, 1999  
Date**Pages:**

Total number of pages of the attached conveyance document including any attachments

2

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

# ASSIGNMENT

We, ASSIGNOR: MINNESOTA MINING AND MANUFACTURING COMPANY  
 of: PO Box 33427  
 St. Paul, Minnesota 55133-3427  
 United States of America

a corporation organized and existing under the laws of the State of Delaware, United States of America do hereby claim and declare that we are the owner of all right, title and interest in and to the following Patent Application(s) or letters Patents(s) and other rights and powers obtainable or exercisable in respect thereof as numbered in United States Patent(s) and /or Patent Application(s):

Patent Application or Patent Number  
 5,686,979

Filing Date or Issue Date  
 November 11, 1997

We do hereby, for good and valuable consideration, effective as of April 1, 1999 received, sell, assign and transfer unto:

ASSIGNEE: 3M INNOVATIVE PROPERTIES COMPANY  
 of: PO Box 33427  
 St. Paul, Minnesota 55133-3427  
 United States of America

a corporation organized and existing under the laws of the State of Delaware, United States of America, our entire right, title and interest in and to the said Patent Application(s) or Letters Patent(s) including any division, continuation (in whole or in part) reissue or reexamination thereof, and consent to the recordal of this Assignment.

## ASSIGNOR

Subscribed and Sworn by us:

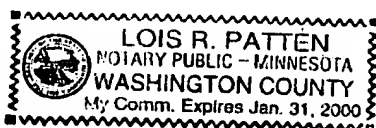
At St. Paul, Minnesota 55133-3427  
 this 18<sup>th</sup> day of June, 1999.

MINNESOTA MINING AND  
 MANUFACTURING COMPANY

By: [Signature]  
 Name: David R. Cleveland  
 Its: Assistant Chief Intellectual  
 Property Counsel

Subscribed and sworn to before me this  
18<sup>th</sup> day of June, 1999.

[Signature]  
 Notary Public



## ASSIGNEE

Accepted by us:

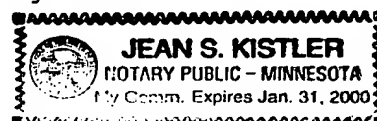
At St. Paul, Minnesota 55133-3427  
 this 18<sup>th</sup> day of June, 1999.

3M INNOVATIVE PROPERTIES  
 COMPANY

By: [Signature]  
 Name: Gary L. Griswold  
 Its: President and Chief Intellectual  
 Property Counsel

Subscribed and sworn to before me this  
18<sup>th</sup> day of June, 1999.

[Signature]  
 Notary Public





Form 10507 11-99

Inventor(s): Weber et al.

File No.: 51478USA2A Patent No.: 5,686,979

Title: OPTICAL PANEL CAPABLE OF  
SWITCHING BETWEEN REFLECTIVE AND  
TRANSMISSIVE STATES

Assignment Recordation Form Cover Sheet  
Assignment  
Charge Dep. Acct. 13-3723

DRC:pls

Date Mailed: June 22, 1999

Abstract

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